

I MOTIVATION

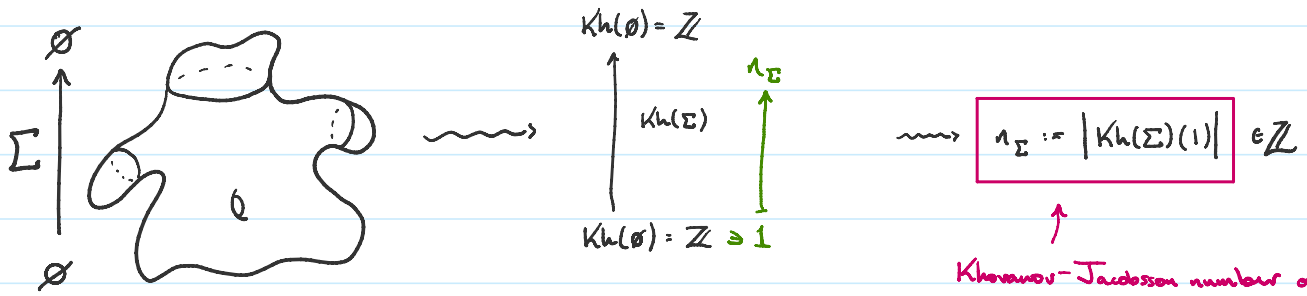
II KHOVANOV HOMOLOGY OF LINKS

III KHOVANOV HOMOLOGY OF SURFACES

IV. Khovanov homology of closed surfaces

TODAY D means disk

Given knotted surface in B^4 , represent it as a link cobordism $\Sigma: \emptyset \rightarrow \emptyset$



Khovanov-Jacobsson number of Σ

NOTE invariant of ambient (no ∂) iso. class of Σ

Ex We calculated:

- (a) a std S^2 has $n_{S^2} = 0$
- (b) a std T^2 has $n_{T^2} = 2$

Lemma $n_{\Sigma} \in Kh^{0, \chi(\Sigma)}(\emptyset) = \begin{cases} \mathbb{Z} & \text{if } \chi(\Sigma) = 0 \\ 0 & \text{if } \chi(\Sigma) \neq 0 \end{cases}$

$\Rightarrow n_{\Sigma} = 0$ for Σ with $\chi(\Sigma) \neq 0$ (ie. only knotted tori have nontrivial n_{Σ})

? Does n_{Σ} distinguish knotted tori?

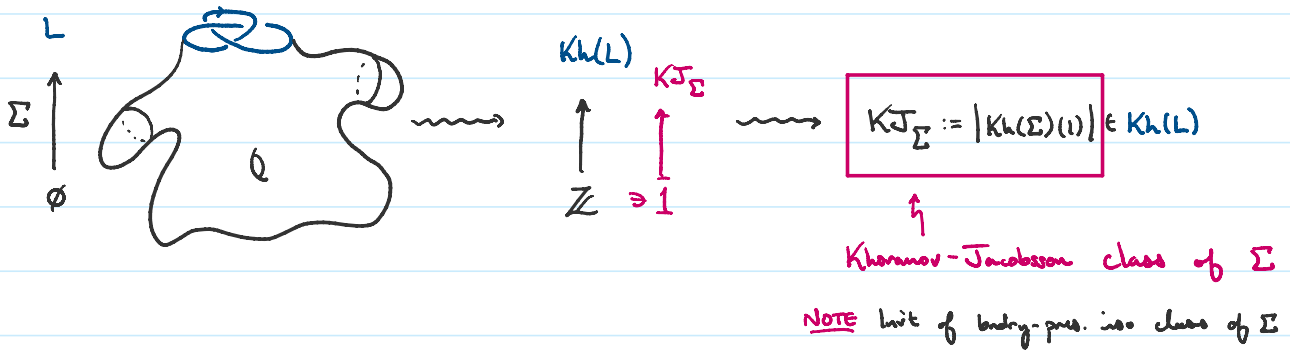
A: no!

Thm (Rasmussen '05, Tanaka '05) Khovanov-Jacobsson numbers are determined by genus: $n_{\Sigma} = \pm 2$ for $\chi(\Sigma) = 0$ and Σ connected.

acts multiplicatively on multiple components

V Khovanov homology of slice disks

Given a surface $\Sigma \subset B^4$, represent as a link cob $\Sigma: \emptyset \rightarrow L$



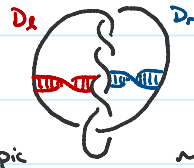
Note: this is a relative version of n_Σ (if $L = \emptyset$ then $n_\Sigma = KJ_\Sigma$)

? Does KJ_Σ distinguish any Σ from any Σ' ?
 (with $\partial\Sigma = L = \partial\Sigma'$ and $g(\Sigma) = g(\Sigma')$)



Thm 1 (Swann '10, S. '20) The slice disks D_L and D_R induce distinct maps on Khovanov homology and hence are not isotopic rel bndry.

Thm 2 (S. '20) The slice disks D'_L and D'_R for 6_1 induce distinct maps on Khovanov homology and hence are not isotopic rel bndry.



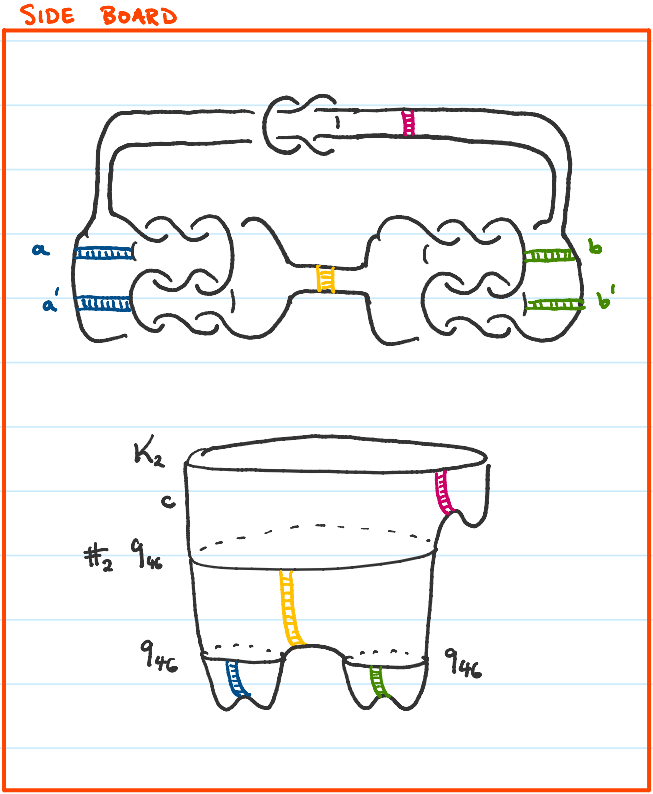
Thm 3 (S.-Swann '21) The 2ⁿ slices for $\#_n(9_{46})$ idmokhastanib

Proof look @ KJ classes ($KJ_{D_L} \neq \pm KJ_{D_R}$, etc)

Ex. $\#_2(9_{46}) = 9_{46} \# 9_{46}$ has 4 slices, given by

1. either a or a'
2. either b or b'
3. c

(ie pick one of two slices for each copy of 9_{46} and bndry-connect sum)



Note Can also be done for $\#_n(6_1)$ and à-la-carte-sums of 6_1 and 9_{46}

Thm (S.-Swann '21) There are prime knots K_n with 2^n slices that each idmokhatanirb.

Proof Thm 3 + Thm (L-Z) + Thm (K-L)

- apply C to $\#_n(9_{46})$ to get K_n
- attach C to any slice D of $\#_n(9_{46})$ to get slice $C \circ D$ of K_n
- KJ classes will remain distinct after attaching C

can compose link obs. by stacking

Thm (Levine-Zemke '19) Ribbon-concordances induce injections on Kh .

(Recall: ribbon-concordances are link obs $\Sigma \cong S^1 \times [0,1]$ w/o maxima)

Thm (Kirby-Lickorish '79) Every knot is (ribbon) concordant to a prime knot.

(4)

(?) How does one show $KJ_{\Sigma} \neq \pm KJ_{\Sigma'}$?

Note: KJ_{Σ} is the homology class $\pm [cKJ_{\Sigma}]$ of a cycle $cKJ_{\Sigma} = c(\Sigma)(1) \in Kh(L)$

$$So \quad 0 = KJ_{\Sigma} \pm KJ_{\Sigma'} = [cKJ_{\Sigma} \pm c'KJ_{\Sigma'}]$$

if $c := cKJ_{\Sigma} \pm c'KJ_{\Sigma'}$ is a boundary (ie $c = d(e)$ for some $e \in Kh^{k-1}(L)$)

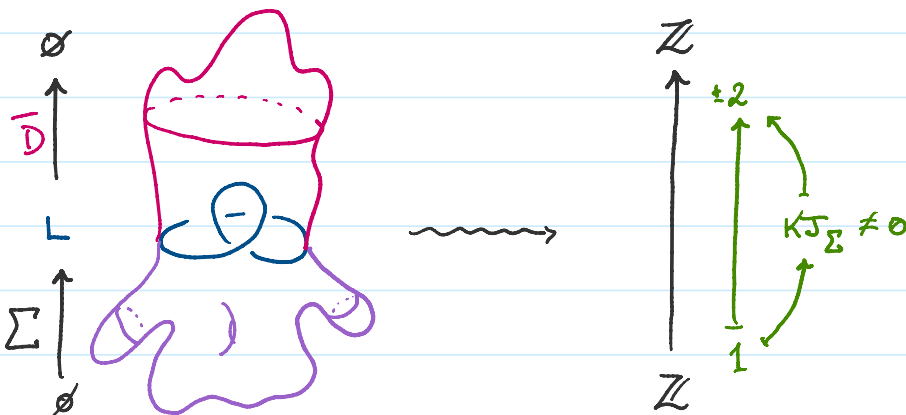
This can be done using a computer!

(?) How do the $(L \neq \emptyset)$ and $(L = \emptyset)$ cases relate?

Thm (Svarn '10) Suppose K is slice w/ slice disk D .

Then any $\Sigma: \emptyset \rightarrow K$ with $g(\Sigma) = 0$ or 1 has nontrivial KJ_{Σ} .

Proof ($g=1$)

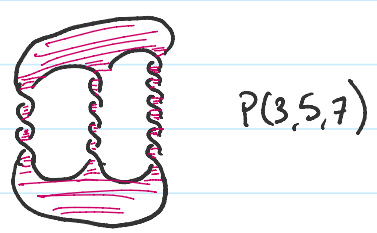


($g=0$) Stack on $\overline{D} \# T^2$ to D (put genus on top)
 Same factorization occurs

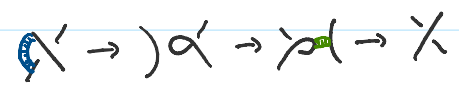
(5)

CONVERSE If K binds a genus 1 surface Σ with $K \cdot J_{\Sigma} = 0$ then
 K is not slice.

Thm (Swann '10) The pretzel knots $P(p, q, r)$ with ^{pos.} odd p, q, r have
 genus 1 Seifert surface w/ trivial KJ class and thus are not slice.



Conjecture Sliceness of odd 3-stranded pretzel knots is fully
 determined by KJ -classes.



IDEA The Conway knot has a genus 1 surface (unknotting # 1)
 \parallel nontrivial KJ class