

The Khovanov homology of slice disks

Isaac Sundberg

Collaborators: Jonah Swann & Kyle Hayden

Bryn Mawr College

MIT Geometry & Topology Seminar

4 October 2021

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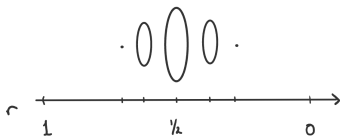
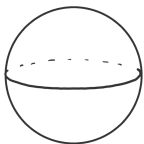
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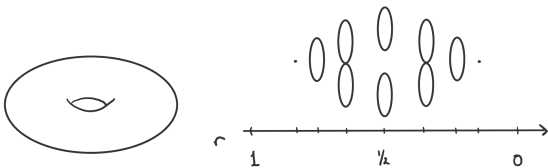
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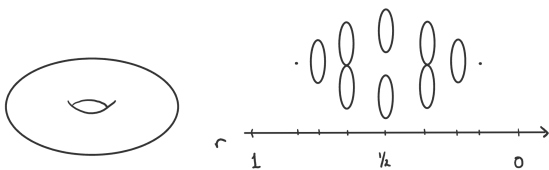
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Takeaway: We can answer this question by describing the level sets of a disk D .

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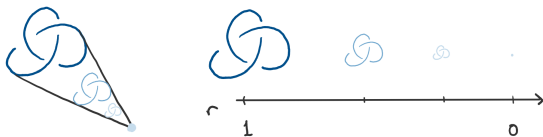
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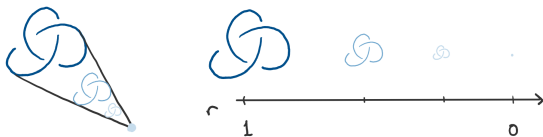


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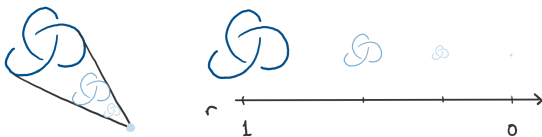
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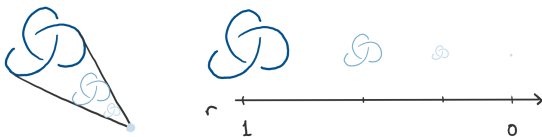
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Definition

A knot $K \subset S^3$ that bounds a smooth, properly embedded disk $D \subset B^4$ is a **slice knot** and D is a **slice disk**.

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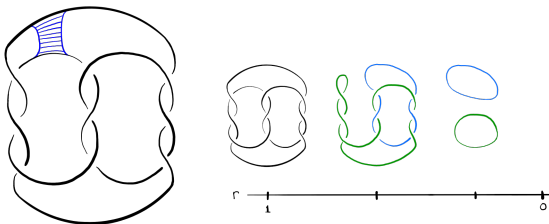
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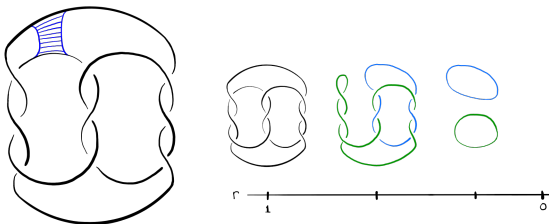
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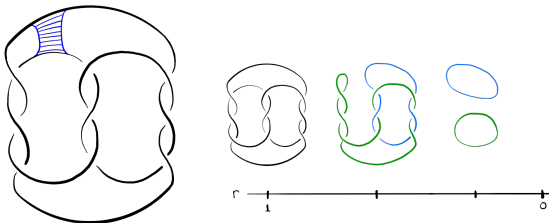


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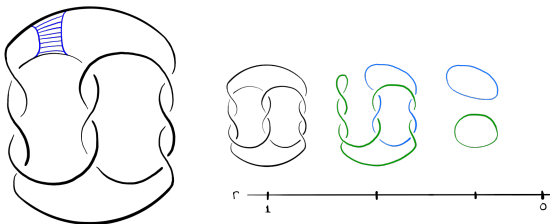


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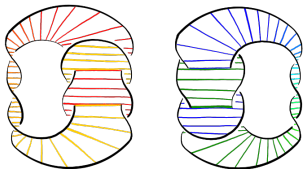
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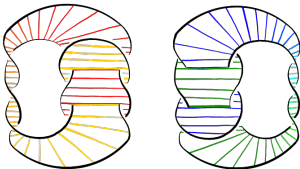
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Yes - by a rotation!



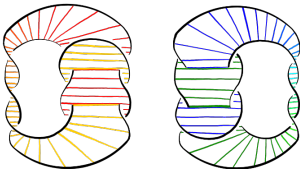
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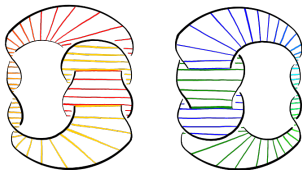
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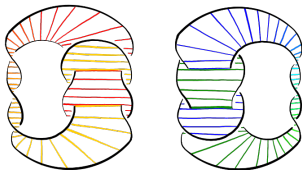
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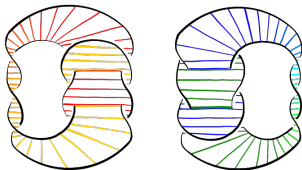
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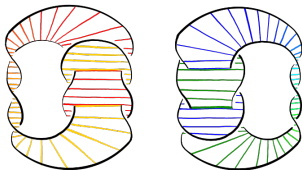
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We need techniques for studying surfaces up to boundary-preserving isotopy!

Methods for studying slice disks

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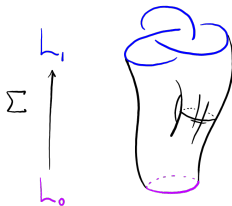
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Link cobordisms

Definition. A **link cobordism** $\Sigma: L_0 \rightarrow L_1$ is a smooth, compact, oriented, properly embedded surface $\Sigma \subset S^3 \times [0, 1]$ with boundary a pair $(i \in \{0, 1\})$ of oriented links $L_i = \Sigma \cap (S^3 \times \{i\})$.

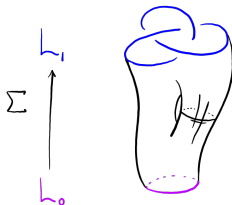
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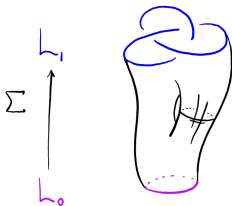
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Definition. A link cobordism $\Sigma: L_0 \rightarrow L_1$ can be represented as a **movie**: a finite sequence of diagrams $\{D_{t_i}\}_{i=0}^n$, with each successive pair related by an isotopy, Morse move, or Reidemeister move.



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Theorem (Khovanov '00)

A diagram D of an oriented link L induces a chain complex $\mathcal{C}\text{Kh}(D)$ with homology $\text{Kh}(D)$, called the Khovanov homology.

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- Many similarly defined *link homology theories* exist

Khovanov homology of surfaces

Theorem (Khovanov '00)

A movie $\{D_{t_i}\}_{i=0}^n$ of a link cobordism $\Sigma: L_0 \rightarrow L_1$ induces a chain map

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- Compose these chain maps to produce $\mathcal{CKh}(\Sigma)$

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- Generally, they are difficult to compute...

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Theorem (Khovanov '00)

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$$\mathcal{CKh}(\Sigma): \mathcal{CKh}(D_0) \rightarrow \mathcal{CKh}(D_1)$$

with induced homomorphism $\text{Kh}(\Sigma)$ on homology.

Properties:

- This map is also bigraded:

$$\mathcal{CKh}(\Sigma): \mathcal{CKh}^{h,q}(D_0) \rightarrow \mathcal{CKh}^{h,q+\chi(\Sigma)}(D_1)$$

- Generally, they are difficult to compute...
- But they have one very useful property!

Invariance

Theorem (Jacobsson '04, Bar-Natan '05, Khovanov '06)

The map on Khovanov homology induced by a link cobordism Σ is invariant, up to sign, under smooth boundary-preserving isotopy of Σ .

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Distinguish link cobordisms Σ, Σ' up to **smooth** isotopy rel boundary by showing their induced maps are distinct $\text{Kh}(\Sigma) \neq \pm \text{Kh}(\Sigma')$

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$$\text{Kh}(\Sigma) \neq \pm \text{Kh}(\Sigma') \implies \Sigma \not\cong_{\partial} \Sigma'$$

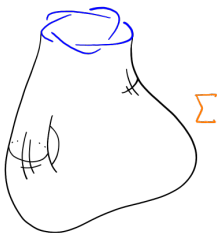
A brief remark on local knottedness

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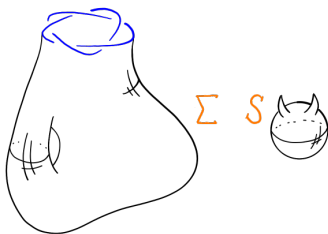
- Given $\Sigma: L_0 \rightarrow L_1$
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Definition. A surface Σ is *locally knotted* if $\Sigma = \Sigma' \# S$ for some surface Σ' .

Theorem (Swann '10, Hayden-S. '21)

The map on Khovanov homology induced by a link cobordism is invariant under local knotting.

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- 1 Motivation
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- 5 Khovanov homology of slice disks: reverse cobordisms
- 6 Future work

Khovanov-Jacobsson numbers

Question:

Khovanov-Jacobsson numbers

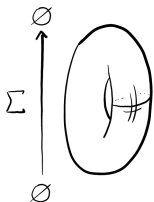
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Can these induced maps distinguish (closed) knotted surfaces in B^4 ?

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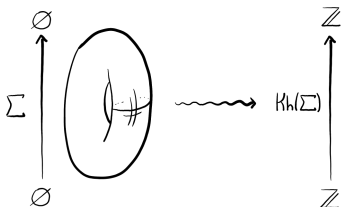


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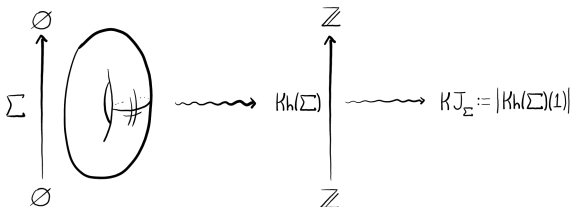


- A knotted surface Σ can be regarded as a link cobordism $\Sigma: \emptyset \rightarrow \emptyset$.
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- This map is determined by $\text{Kh}(\Sigma)(1) \in \mathbb{Z}$, so this integer is an up-to-sign invariant of the (ambient) isotopy class of Σ

Rasmussen-Tanaka

Lemma

For a link cobordism $\Sigma: \emptyset \rightarrow \emptyset$, the Khovanov-Jacobsson number

$$KJ_{\Sigma} := |\text{Kh}(\Sigma)(1)| \in \mathbb{Z}$$

is an invariant of the ambient isotopy class of Σ .

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Theorem (Rasmussen '05, Tanaka '05)

Khovanov-Jacobsson numbers of connected Σ are determined by genus:

- if $g(\Sigma) = 1$, then $KJ_{\Sigma} = 2$
- if $g(\Sigma) \neq 1$, then $KJ_{\Sigma} = 0$

Motivation
○○○○○

Background
○○○○○

Knotted surfaces
○○●

Results I
○○○○○○○

Results II
○○○○○

Future work
○○○○○

Cases

Idea:

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Follow the same procedure for surfaces with boundary.

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A (nice) surface $\Sigma \subset B^4$ with boundary $L \subset S^3$ can be regarded as:

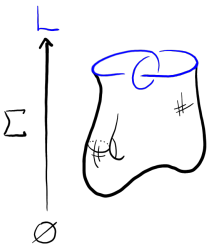
Cases

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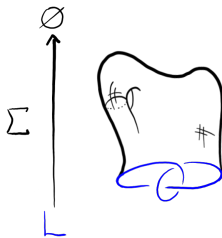
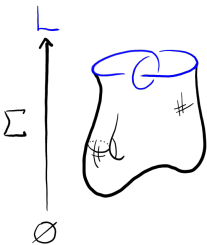
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We consider these cases separately.

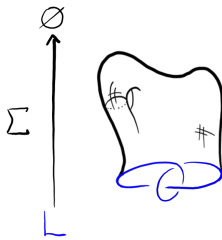
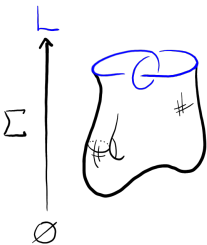
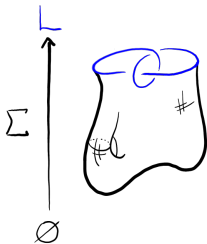


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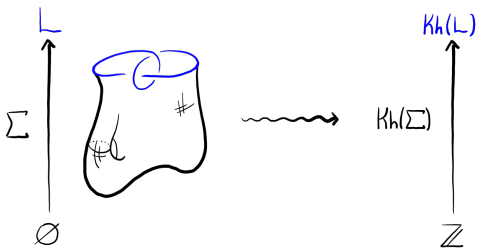
Khovanov-Jacobsson classes

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Khovanov-Jacobsson classes

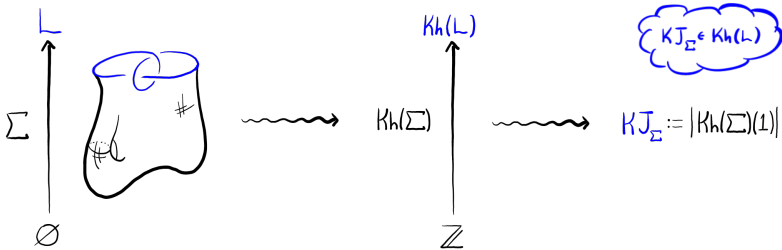
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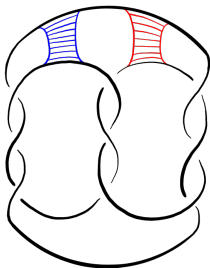
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Hopefully!

Khovanov-Jacobsson classes

Theorem (Swann '10, S. '20)

The slice disks D_ℓ and D_r for 9_{46} have distinct Khovanov-Jacobsson classes $\text{KJ}_{D_\ell} \neq \text{KJ}_{D_r}$, and therefore, are not isotopic rel boundary.



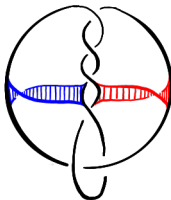
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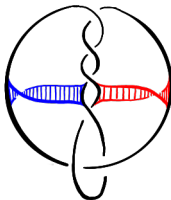
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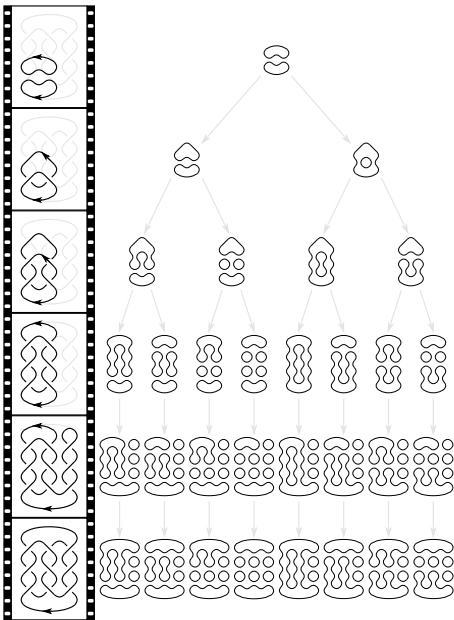
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Note: this uniqueness is also known through other techniques.

Calculation for 9_{46}



Khovanov-Jacobsson classes

Theorem (S.-Swann '21)

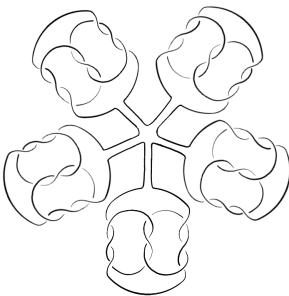
The 2^n slices of $\#_n(\mathcal{G}_{46})$ have distinct Khovanov-Jacobsson classes, and therefore, are not isotopic rel boundary.

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Slices are obtained by choosing one of the band moves for each copy of 9_{46} (or boundary connect summing the slices).

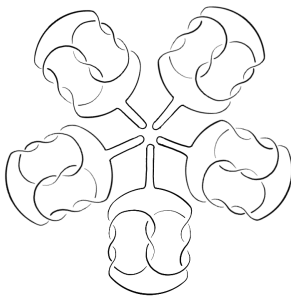


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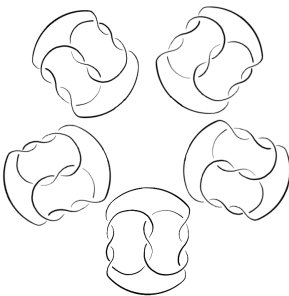


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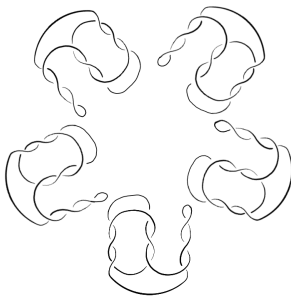


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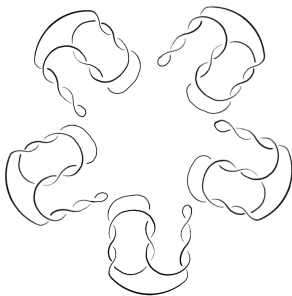


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This can also be done with $\#_n(6_1)$, or even by using combinations of 9_{46} and 6_1 .

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Theorem (S.-Swann '21)

There are prime knots with 2^n slices having distinct Khovanov-Jacobsson classes, and therefore, they are not isotopic rel boundary.

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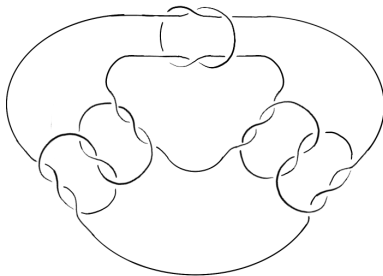
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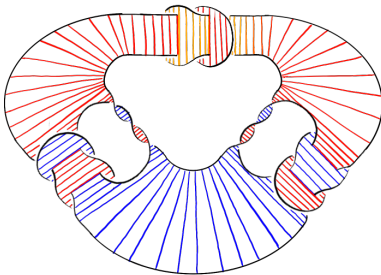
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Motivation
○○○○○

Background
○○○○○

Knotted surfaces
○○○○

Results I
○○○○○●○

Results II
○○○○○

Future work
○○○○○

Application: Obstructing sliceness

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Theorem (Swann '10)

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For $p, q, r \geq 3$ and odd, the pretzel knot $P(p, q, r)$ is not slice.

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For $p, q \leq -3$ and odd, the pretzel knot $P(p, q, 1)$ is not slice.

Are we still on case 1?

Downside to Khovanov-Jacobsson classes:

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Downside to Khovanov-Jacobsson classes:

- Hard to calculate...

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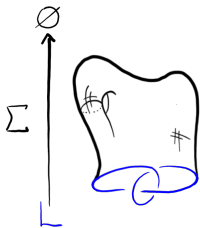
Is there a better way?

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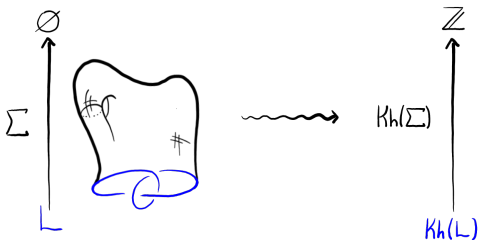
Reverse cobordism

Case 2: Consider a link cobordism $\Sigma: L \rightarrow \emptyset$



Reverse cobordism

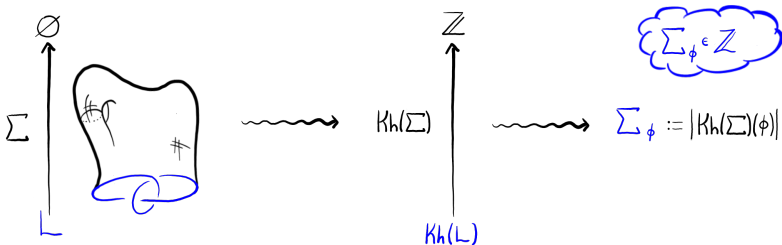
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Reverse cobordism

Case 2: Consider a link cobordism $\Sigma: L \rightarrow \emptyset$



Consider the induced map $\text{Kh}(\Sigma): \text{Kh}(L) \rightarrow \mathbb{Z}$

Choose a class $\phi \in \text{Kh}(L)$, and note that $\text{Kh}(\Sigma)(\phi) \in \mathbb{Z}$ is an up-to-sign invariant of the (relative) isotopy class of Σ .

Reverse cobordism

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Lemma

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Are they better than Khovanov-Jacobsson classes?

Quick results

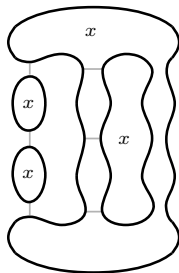
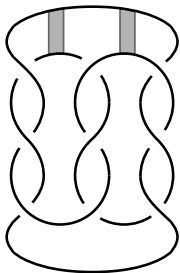
Theorem (Hayden-S. '21)

The pair of slice disks D_ℓ and D_r for the knot K (below) induce distinct maps on Khovanov homology, distinguished by the given class $\phi \in \text{Kh}(K)$, and therefore, are not isotopic rel boundary.

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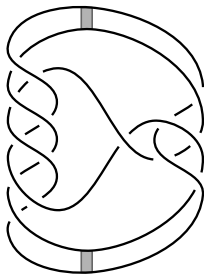
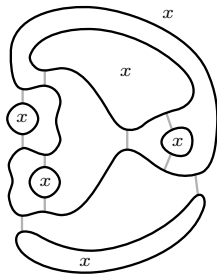
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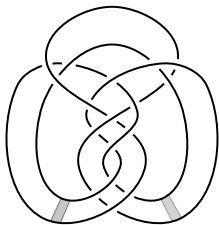
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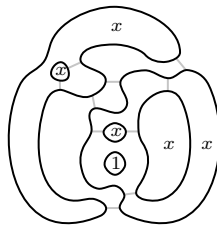
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The induced maps on Khovanov homology detect exotic pairs of slice disks.

Can be extended to an infinite family of knots bounding pairs of ambiently non-isotopic surfaces of any genus.

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Case 2:

- By choosing ϕ wisely, it is easier to compute Σ_{ϕ}
- Comparing integers is easy

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- 2 Khovanov homology of surfaces
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- 6 Future work**

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Motivation
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Background
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Knotted surfaces
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Results I
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Results II
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Thank You!

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