

# The knots so nice they sliced them twice

Detecting exoticty with Khovanov homology

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Bryn Mawr College

Dissertation Defense

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- 1 Motivation
- 2 Khovanov homology
- 3 Khovanov homology of knotted surfaces
- 4 Khovanov homology of surfaces in the 4-ball
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- 6 Future work

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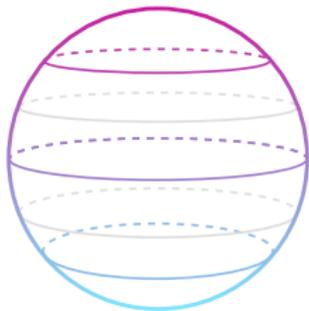
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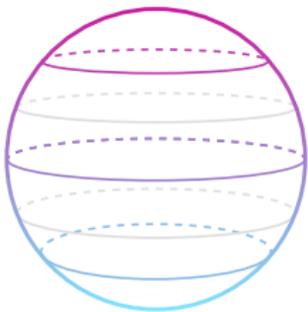
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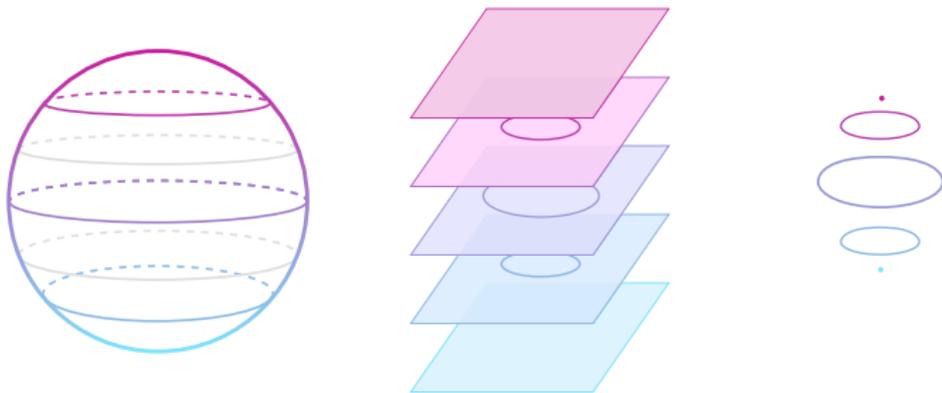
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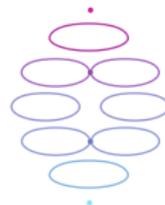
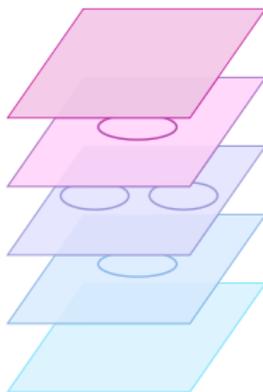
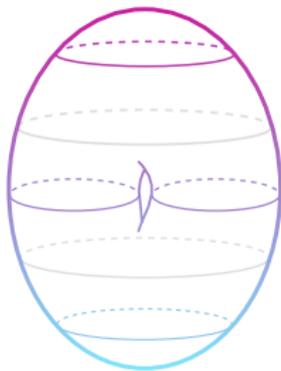
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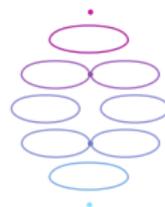
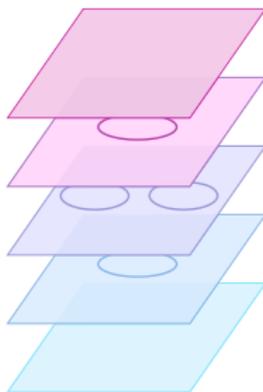
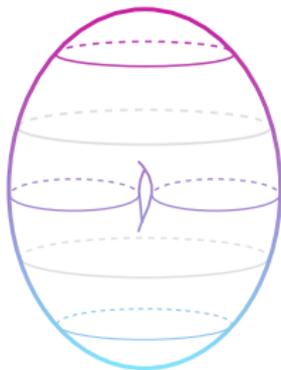
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Let's do the same thing for surfaces in  $\mathbb{R}^{3+1}$

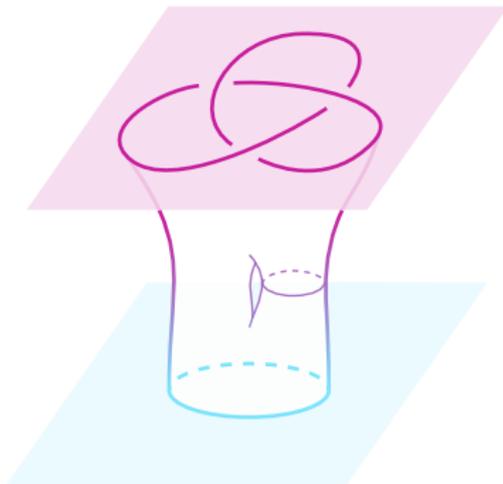
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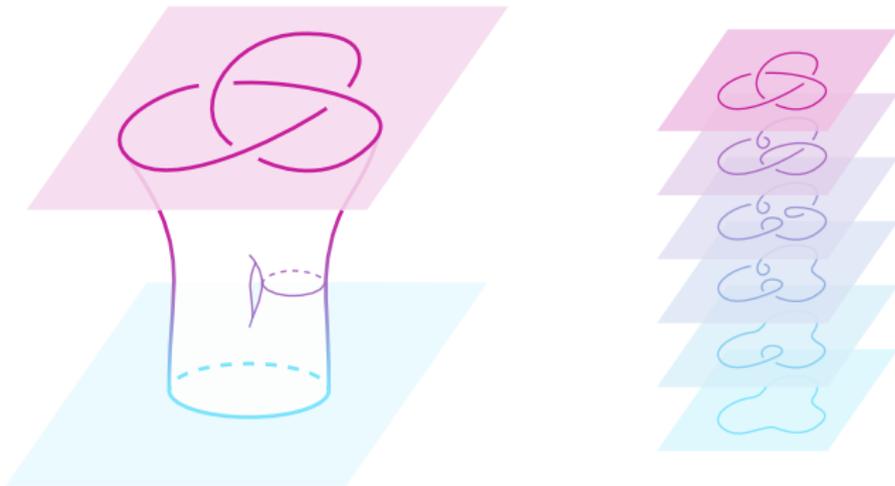
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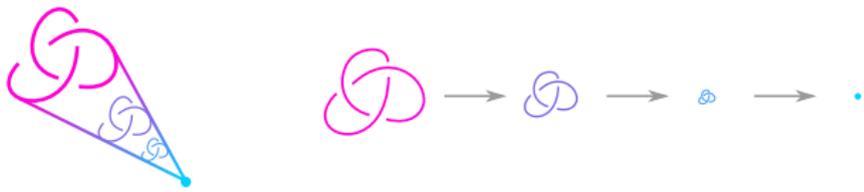
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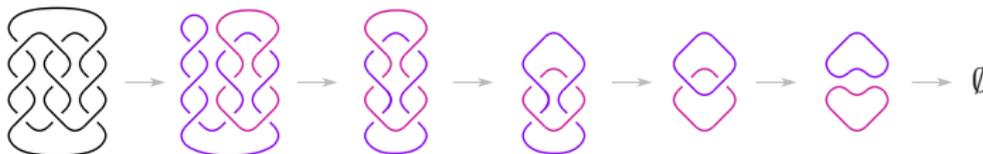
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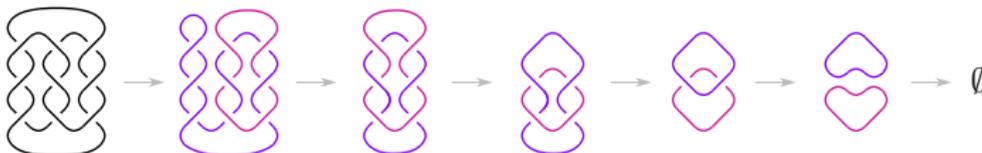
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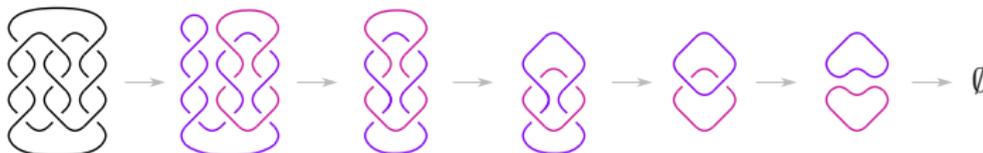
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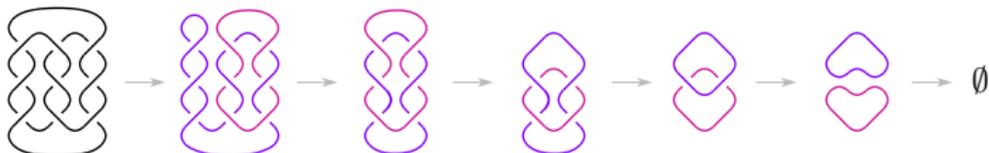


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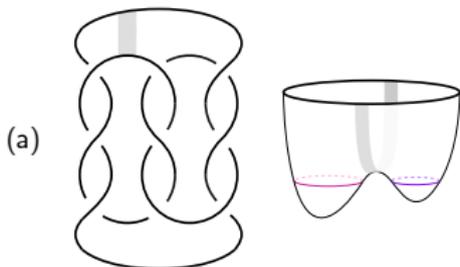
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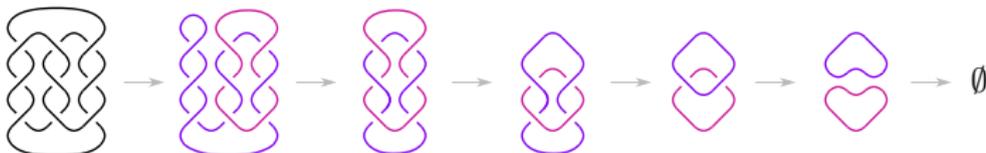
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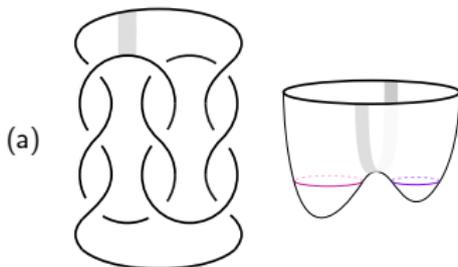
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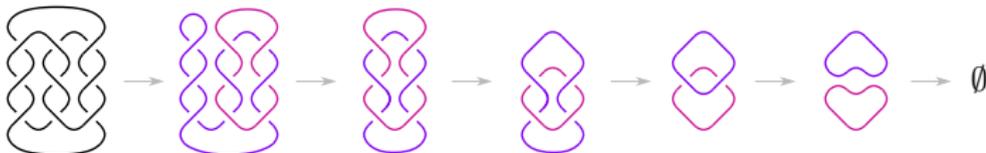
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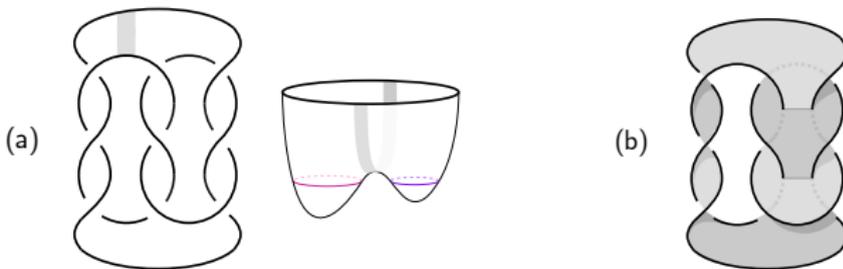
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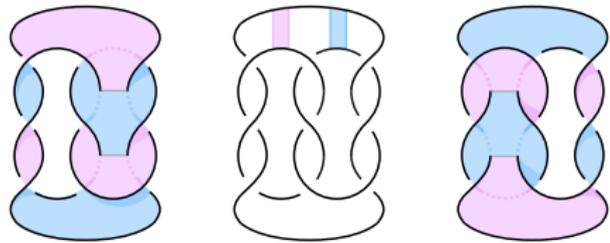
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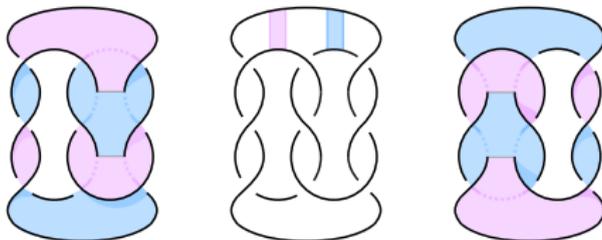
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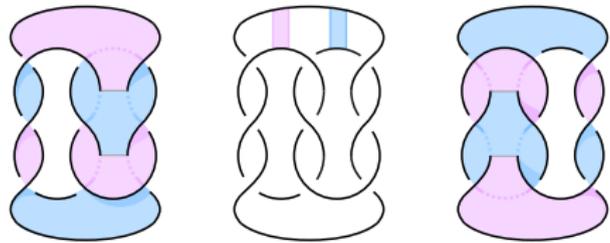
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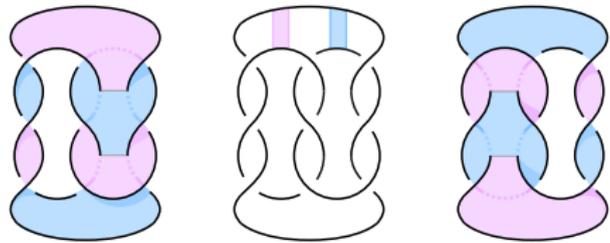
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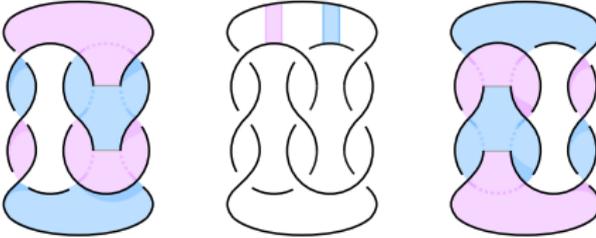
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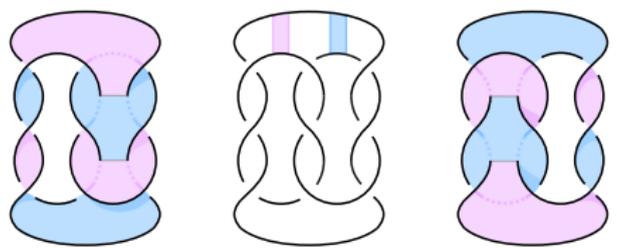
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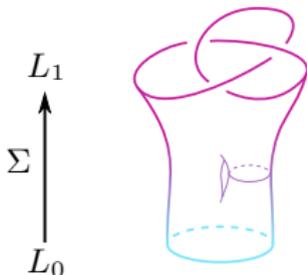
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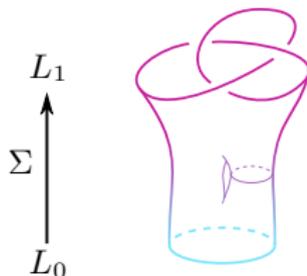
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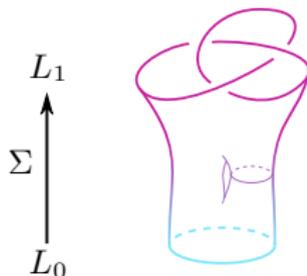


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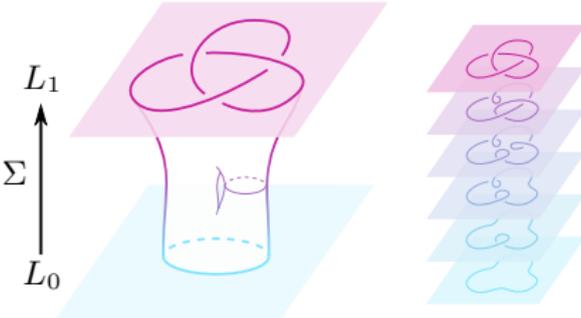


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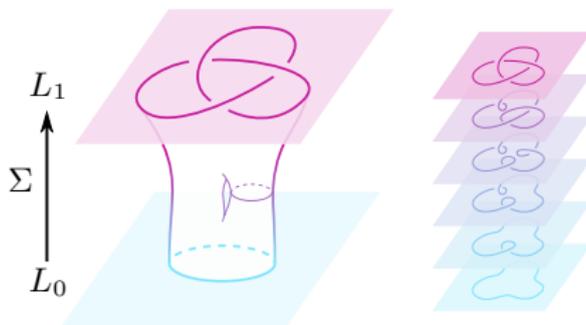


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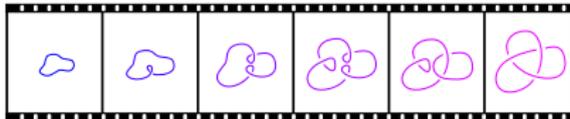
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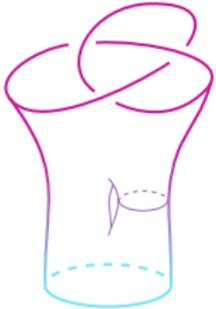
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Khovanov homology is a *functor* on the category of link cobordisms.

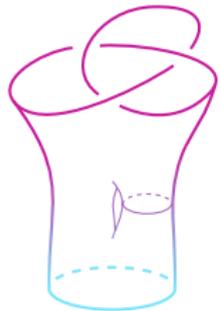
- links are assigned chain complexes with associated homology groups
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Link cobordism	Movie	Chain complex	Chain map
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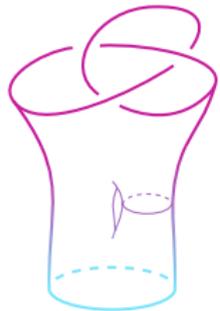
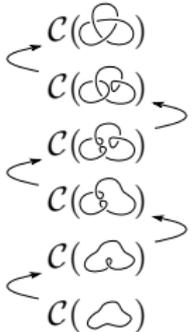
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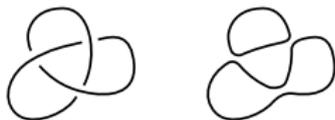
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Let's take a quick look at  $\mathcal{C}(3_1)$

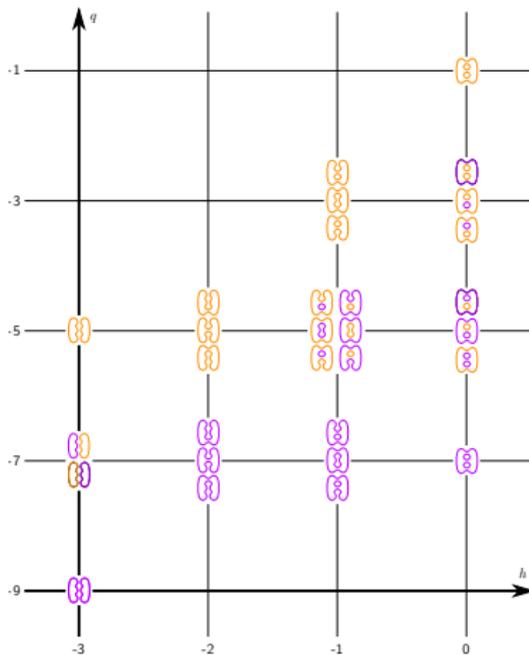
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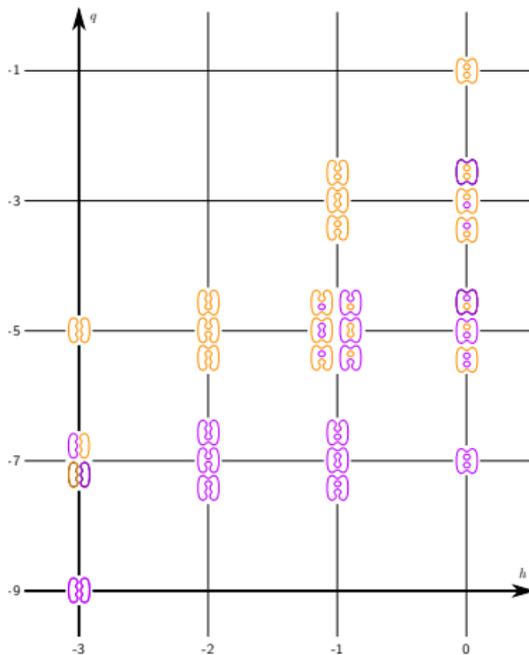
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The Khovanov homology of the trefoil is  $\mathcal{H}(3_1) \cong \mathbb{Z}^4$

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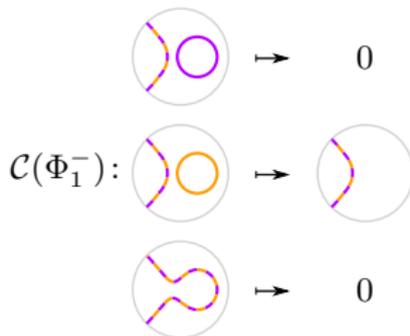
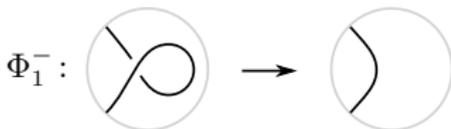
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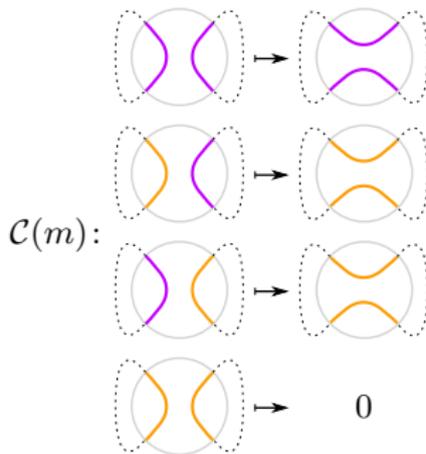
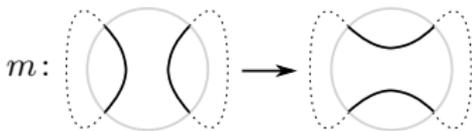
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## A brief remark on local knottedness

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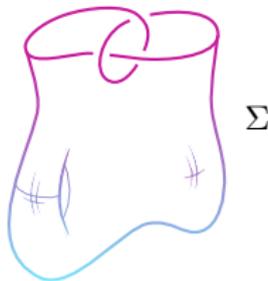
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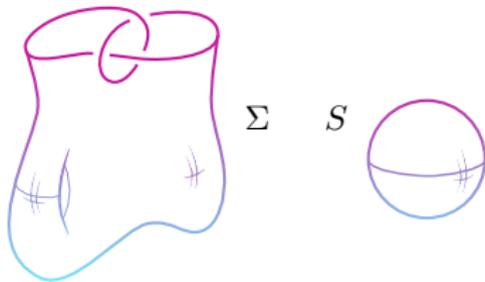


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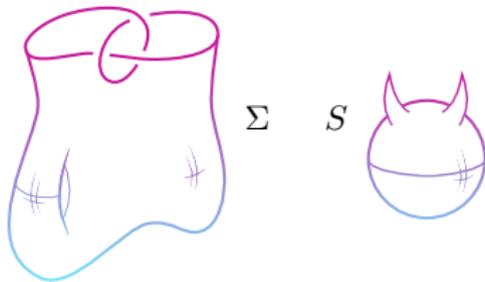


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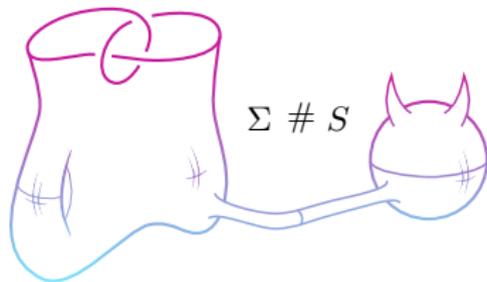


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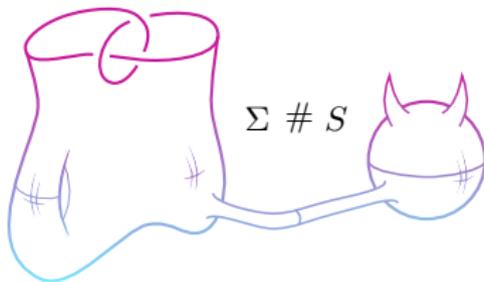


## A brief remark on local knottedness

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In general, it is (perhaps too) easy to build such link cobordisms:

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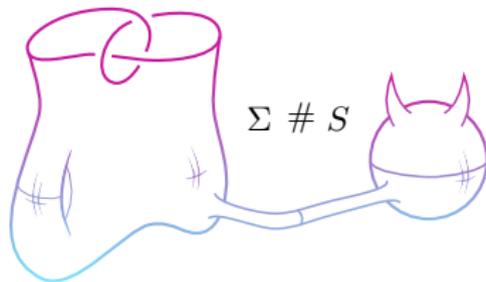


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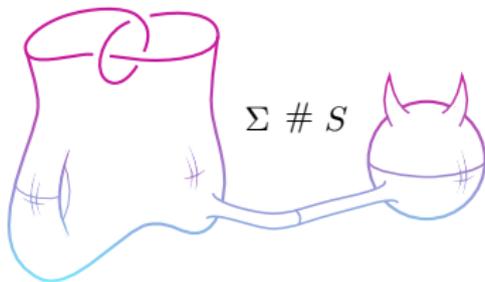
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**Takeaway:** do not do this when finding  $\Sigma, \Sigma'$

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# Defining $\varphi$ -numbers

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Question:

## Defining $\varphi$ -numbers

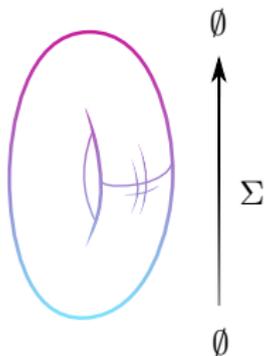
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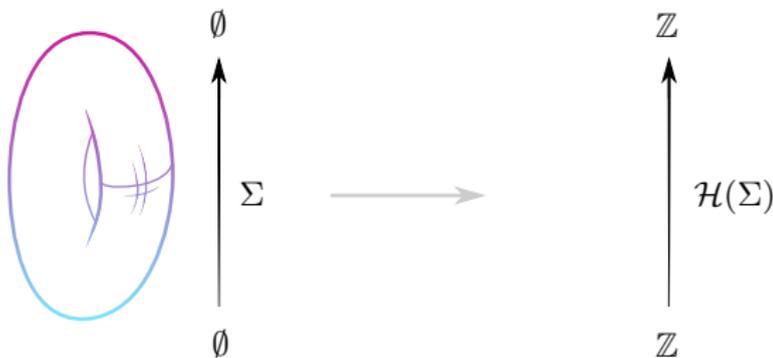
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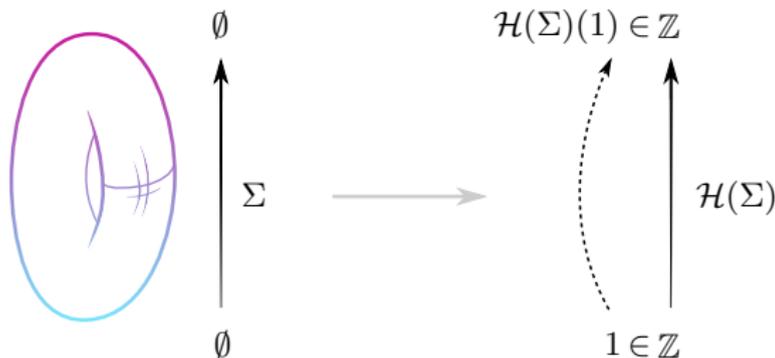


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### Theorem (Rasmussen, Tanaka)

The  $\varphi$ -numbers associated to connected  $\Sigma \subset B^4$  are determined by genus:

- if  $g(\Sigma) = 1$ , then  $\varphi(\Sigma) = \pm 2$
- if  $g(\Sigma) \neq 1$ , then  $\varphi(\Sigma) = 0$

# Cases

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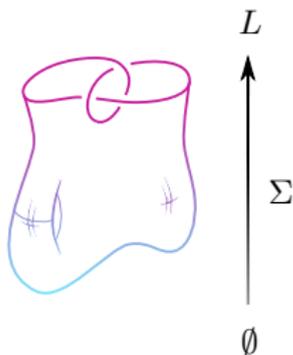
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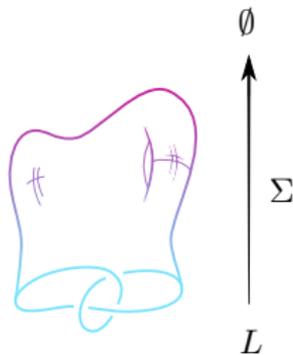
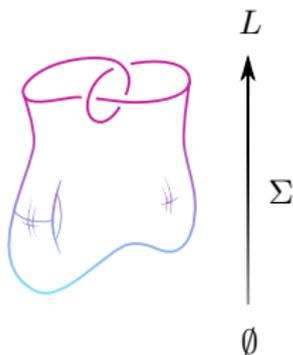
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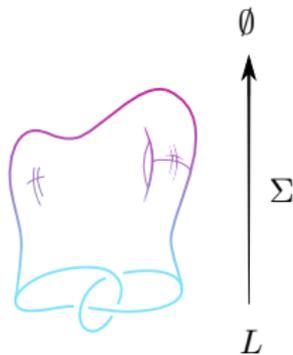
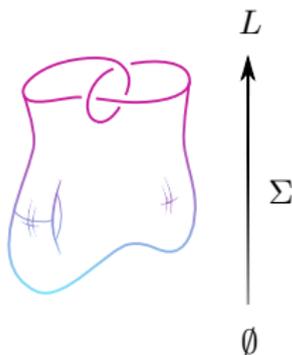
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We consider these cases separately in the next two sections.



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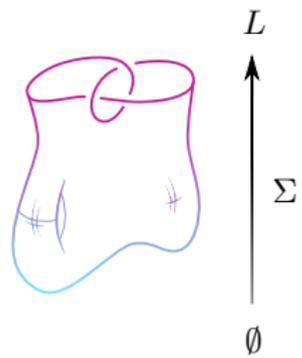
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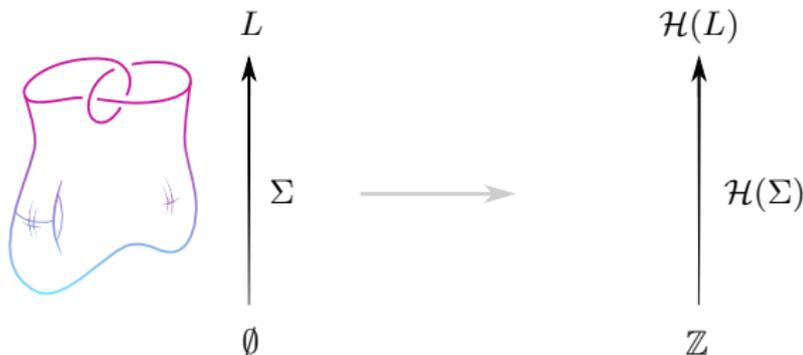
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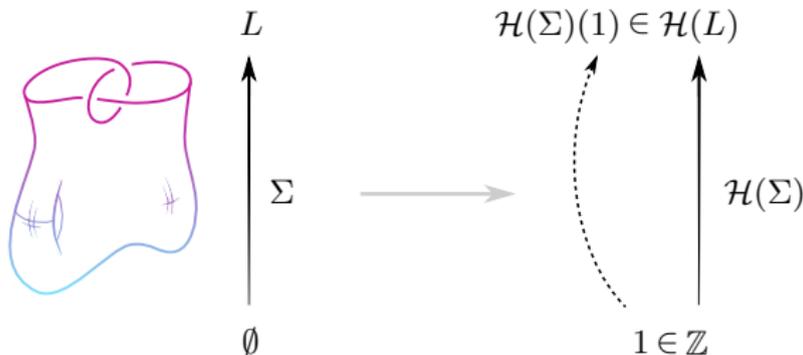


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If so, we say  $\Sigma_{0,1}$  are  $\varphi$ -**distinguished**.

## Applications of $\varphi$ -classes

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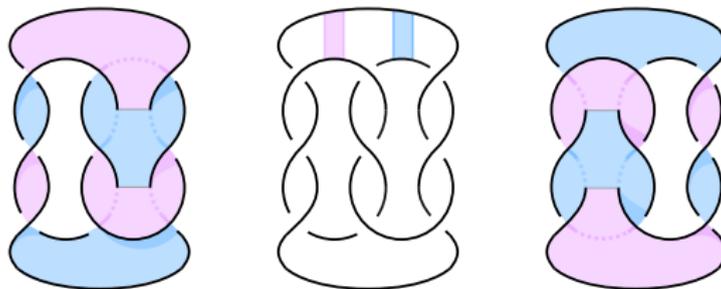
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*The slice disks  $D_\ell$  and  $D_r$  for  $\mathfrak{g}_{46}$  are  $\varphi$ -distinguished, and therefore, are not isotopic rel boundary.*

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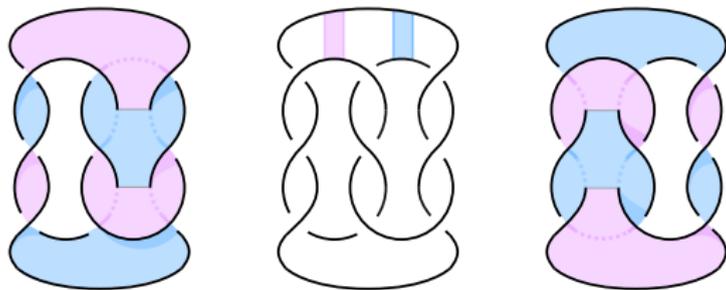
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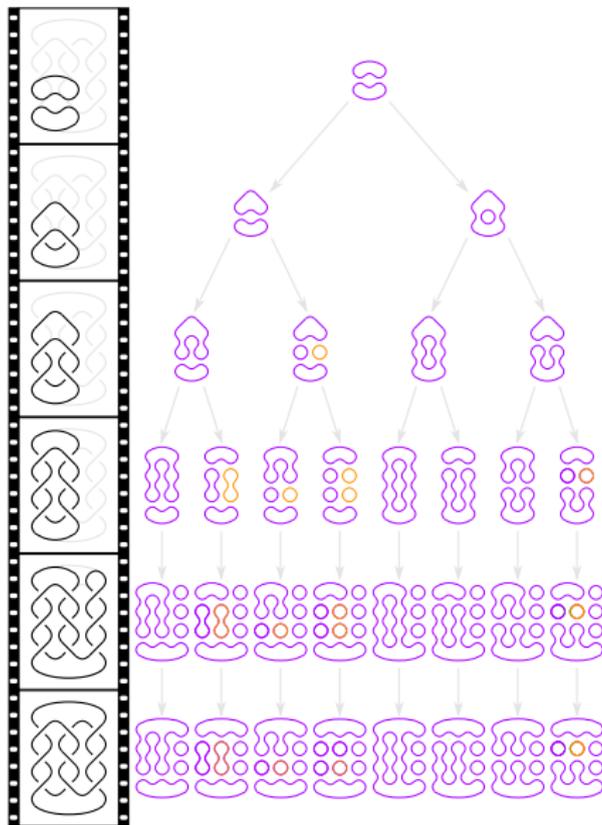
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What do  $\varphi(D_\ell)$  and  $\varphi(D_r)$  look like?

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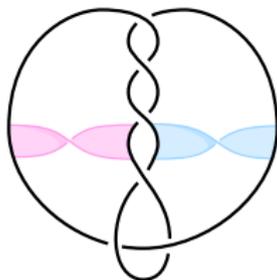
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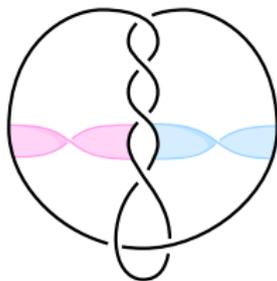
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These knots are so nice! Are there even nicer knots out there?

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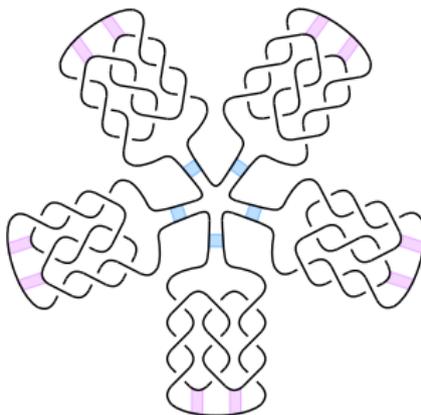
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Slice disks are obtained by boundary-summing copies of  $D_\ell$  and  $D_r$ .



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- These slice disks are pairwise  $\varphi$ -distinguished using injectivity and functoriality of the induced maps on Khovanov homology:

$$\varphi(C \circ D) = \mathcal{H}(C)(\varphi(D)) \neq \pm \mathcal{H}(C)(\varphi(D')) = \varphi(C \circ D')$$

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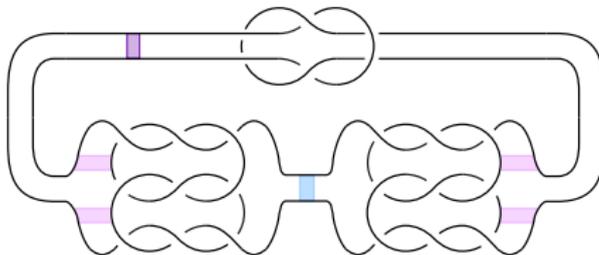
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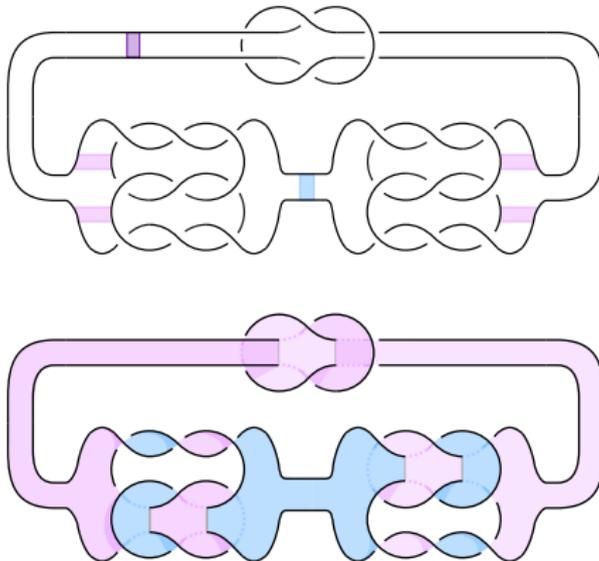
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- (1) large movies produce complicated  $\varphi$ -classes
  - that's fine, it just takes weeks/months to calculate some of them
- (2a) to distinguish homology classes, we show their representative cycles do not add/subtract to a boundary (i.e., are in the image of some map)
  - that's fine, linear algebra is my friend
- (2b) large links have high-complexity Khovanov homology
  - that's fine, I can write a computer program to handle that...?

**Example.** The  $\varphi$ -class of a genus 1 surface bounding  $\text{Wh}_2^+(3_1)$  has approximately  $2^{12}$  smoothings and the matrix representing  $h^{-1,1}$  has approximate dimensions  $20,000 \times 30,000$

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# Defining $\varphi^*$ -numbers

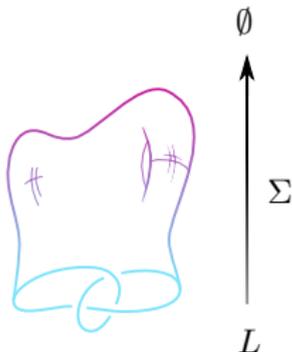
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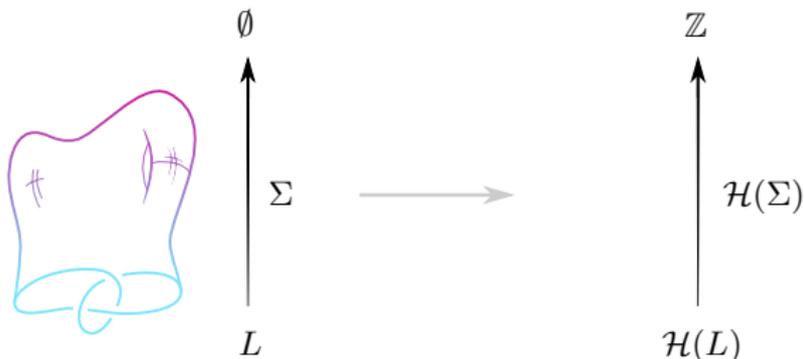
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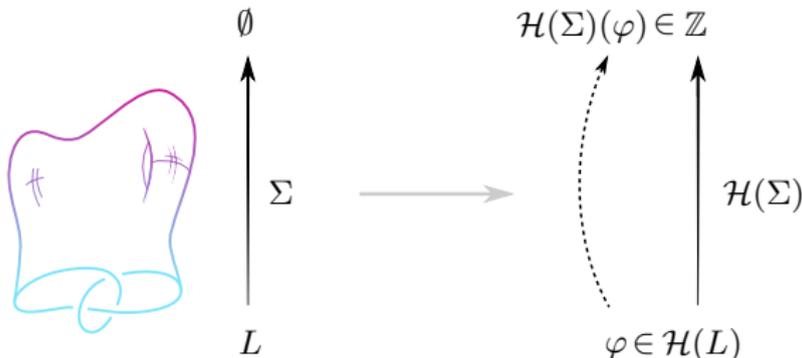
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- it induces a map  $\mathcal{H}(\Sigma): \mathcal{H}(L) \rightarrow \mathbb{Z}$
- choose a class  $\varphi \in \mathcal{H}(L)$ , and note that  $\mathcal{H}(\Sigma)(\varphi) \in \mathbb{Z}$  is an up-to-sign invariant of the isotopy class of  $\Sigma$ .

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### Lemma

For a link cobordism  $\Sigma: L \rightarrow \emptyset$  and a class  $\varphi \in \mathcal{H}(L)$ , the  $\varphi^*$ -number

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Can we find  $\Sigma_{0,1} \subset B^4$  bounding a common  $L \subset S^3$  and a class  $\varphi \in \mathcal{H}(L)$  such that  $\varphi^*(\Sigma_0) \neq \pm \varphi^*(\Sigma_1)$ ?

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If so, we say  $\Sigma_{0,1}$  are  $\varphi^*$ -distinguished.

## Applications of $\varphi^*$ -numbers

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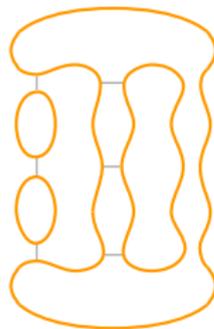
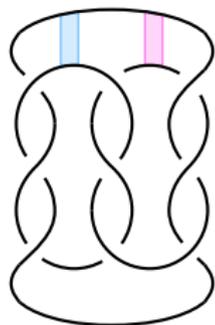
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*The pair of slice disks  $D_\ell$  and  $D_r$  for the knot  $K$  (below) are  $\varphi^*$ -distinguished by the given class  $\varphi \in \mathcal{H}(K)$ , and therefore, are not isotopic rel boundary.*

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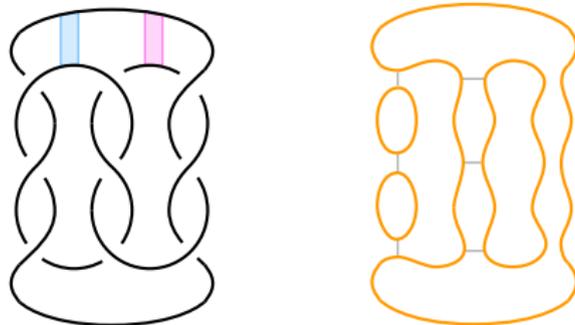


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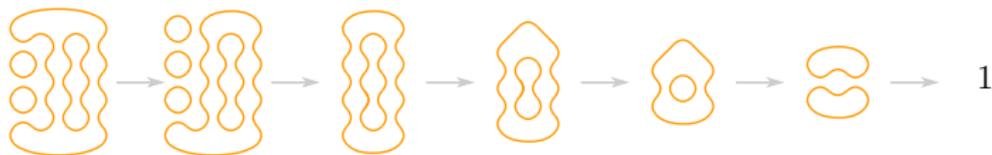
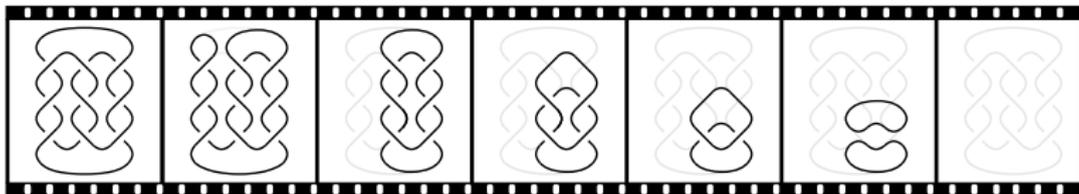
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**Proof idea:** show  $\varphi^*(D_\ell) = 1$  and  $\varphi^*(D_r) = 0$

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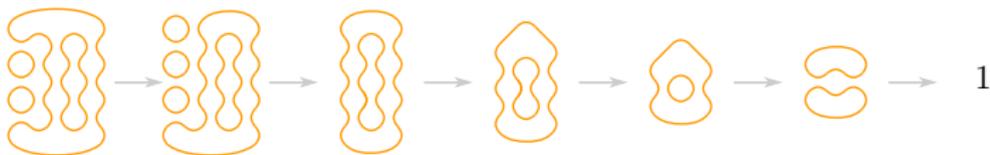
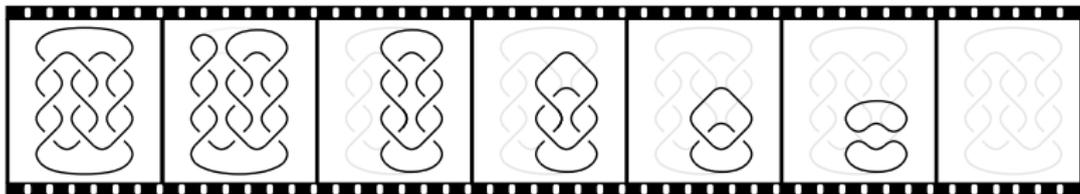
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So  $\varphi^*(D_\ell) = 1$  and  $\varphi^*(D_r) = 0$ , as desired.

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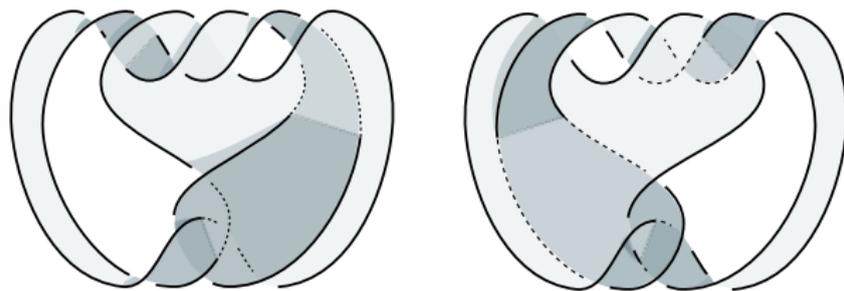


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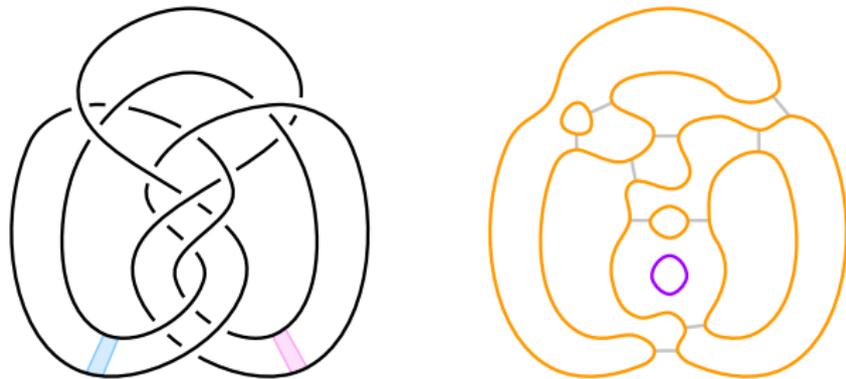


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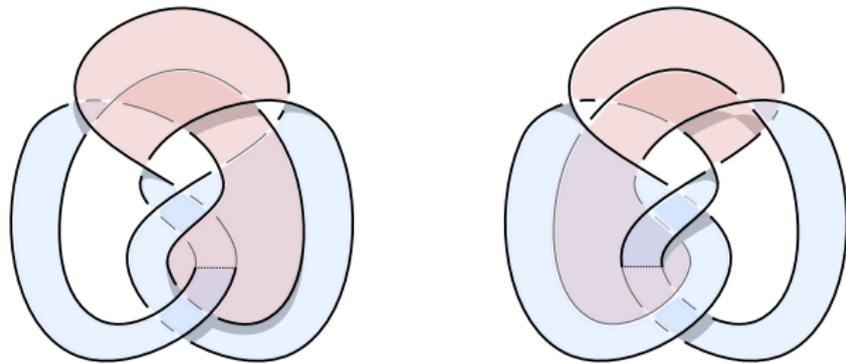


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First gauge-theory free proof of exotic surfaces.

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# Thank You!

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